

**UNITED STATES BANKRUPTCY COURT  
EASTERN DISTRICT OF MICHIGAN  
Southern DIVISION**

In re: WORLD ART AUCTIONS, LLC

§ Case No. 15-48911 MAR  
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§  
§

Debtor(s)

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**CHAPTER 7 TRUSTEE'S FINAL ACCOUNT AND DISTRIBUTION  
REPORT CERTIFICATION THAT THE ESTATE HAS BEEN FULLY  
ADMINISTERED AND APPLICATION TO BE DISCHARGED (TDR)**

Kenneth A. Nathan, chapter 7 trustee, submits this Final Account, Certification that the Estate has been Fully Administered and Application to be Discharged.

1) All funds on hand have been distributed in accordance with the Trustee's Final Report and, if applicable, any order of the Court modifying the Final Report. The case is fully administered and all assets and funds which have come under the trustee's control in this case have been properly accounted for as provided by law. The trustee hereby requests to be discharged from further duties as a trustee.

2) A summary of assets abandoned, assets exempt, total distributions to claimants, claims discharged without payment, and expenses of administration is provided below:

Assets Abandoned: <u>\$0.00</u> <i>(without deducting any secured claims)</i>	Assets Exempt: <u>N/A</u>
Total Distributions to Claimants: <u>\$156,434.24</u>	Claims Discharged Without Payment: <u>N/A</u>
Total Expenses of Administration: <u>\$675,000.02</u>	

3) Total gross receipts of \$831,434.26 (see **Exhibit 1**), minus funds paid to the debtor and third parties of \$0.00 (see **Exhibit 2**), yielded net receipts of \$831,434.26 from the liquidation of the property of the estate, which was distributed as follows:

	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
SECURED CLAIMS (from <b>Exhibit 3</b> )	\$1,500,210.34	\$3,508,294.00	\$910,000.00	\$156,434.24
PRIORITY CLAIMS:				
CHAPTER 7 ADMIN. FEES AND CHARGES (from <b>Exhibit 4</b> )	\$0.00	\$675,000.02	\$675,000.02	\$675,000.02
PRIOR CHAPTER ADMIN. FEES AND CHARGES (from <b>Exhibit 5</b> )	\$0.00	\$0.00	\$0.00	\$0.00
PRIORITY UNSECURED CLAIMS (from <b>Exhibit 6</b> )	\$0.00	\$0.00	\$0.00	\$0.00
GENERAL UNSECURED CLAIMS (from <b>Exhibit 7</b> )	\$4,008,542.64	\$4,317,764.41	\$4,317,764.41	\$0.00
<b>TOTAL DISBURSEMENTS</b>	\$5,508,752.98	\$8,501,058.43	\$5,902,764.43	\$831,434.26

4) This case was originally filed under chapter 7 on 06/09/2015. The case was pending for 65 months.

5) All estate bank statements, deposit slips, and canceled checks have been submitted to the United States Trustee.

6) An individual estate property record and report showing the final accounting of the assets of the estate is attached as **Exhibit 8**. The cash receipts and disbursements records for each estate bank account, showing the final accounting of the receipts and disbursements of estate funds is attached as **Exhibit 9**.

Pursuant to Fed R Bank P 5009, I hereby certify, under penalty of perjury, that the foregoing report is true and correct.

Dated: 11/10/2020

By: /s/ Kenneth A. Nathan  
Trustee

**STATEMENT** This Uniform Form is associated with an open bankruptcy case, therefore, Paperwork Reduction Act exemption 5 C.F.R. § 1320.4(a)(2) applies.

**EXHIBITS TO  
FINAL ACCOUNT**

**EXHIBIT 1 – GROSS RECEIPTS**

DESCRIPTION	UNIFORM TRAN. CODE <sup>1</sup>	\$ AMOUNT RECEIVED
CASH	1129-000	\$20,200.00
Misc. Refunds	1290-000	\$533.19
Art Inventory in various locations (see att. list)	1129-000	\$785,701.07
Advance	1229-000	\$25,000.00
<b>TOTAL GROSS RECEIPTS</b>		<b>\$831,434.26</b>
<sup>1</sup> The Uniform Transaction Code is an accounting code assigned by the trustee for statistical reporting purposes.		

**EXHIBIT 2 – FUNDS PAID TO DEBTOR & THIRD PARTIES**

PAYEE	DESCRIPTION	UNIFORM TRAN. CODE	\$ AMOUNT PAID
	None		

**EXHIBIT 3 - SECURED CLAIMS**

Claim NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6D)	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
5	Avrohom Baum	4210-000	\$284,460.34	\$646,982.00	\$0.00	\$0.00
6	Judith A. Behrens	4210-000	NA	\$98,347.57	\$0.00	\$0.00
7	Judith A. Behrens	4210-000	NA	\$318,800.00	\$0.00	\$0.00
8S	Wyland Worldwide, LLC	4210-000	NA	\$792,647.00	\$0.00	\$0.00
10	Artist Group International, LLC c/o Nancy R. Ayala Greenberg Traurig	4210-000	NA	\$533,307.43	\$0.00	\$0.00
11	TCA Global Credit Master Fund, LP	4210-000	\$750,000.00	\$910,000.00	\$910,000.00	\$156,434.24
13S	Classic Publications Inc.	4210-000	NA	\$208,210.00	\$0.00	\$0.00
N/F	Alluera LLC	4210-000	\$465,750.00	NA	NA	NA
N/F	Artists w/UCC's	4210-000	NA	NA	NA	NA
<b>TOTAL SECURED</b>			<b>\$1,500,210.34</b>	<b>\$3,508,294.00</b>	<b>\$910,000.00</b>	<b>\$156,434.24</b>

**EXHIBIT 4 – CHAPTER 7 ADMINISTRATIVE FEES and CHARGES**

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
Trustee, Fees - Kenneth Nathan	2100-000	NA	\$41,571.71	\$41,571.71	\$41,571.71
Trustee, Expenses - Kenneth A. Nathan	2200-000	NA	\$32.64	\$32.64	\$32.64
Charges, U.S. Bankruptcy Court	2700-000	NA	\$700.00	\$700.00	\$700.00
Bond Payments - INSURANCE PARTNERS AGENCY, INC.	2300-000	NA	\$31.91	\$31.91	\$31.91
Bond Payments - Insurance Partners	2300-000	NA	\$4.87	\$4.87	\$4.87
Bond Payments - Insurance Partners Agency, Inc.	2300-000	NA	\$52.13	\$52.13	\$52.13
Administrative Rent - JST Enterprise, L.L.C.	2410-000	NA	\$5,136.00	\$5,136.00	\$5,136.00
Administrative Rent - Nouvea Fine Arts, LLC	2410-000	NA	\$5,300.00	\$5,300.00	\$5,300.00
Costs to Secure/Maintain Property - Cantor Insurance Group	2420-000	NA	\$2,390.00	\$2,390.00	\$2,390.00
Costs to Secure/Maintain Property - Interstate Lock & Safe L.L.C.	2420-000	NA	\$191.64	\$191.64	\$191.64
Banking and Technology Service Fee - Metropolitan Commercial Bank	2600-000	NA	\$483.78	\$483.78	\$483.78
Banking and Technology Service Fee - Rabobank, N.A.	2600-000	NA	\$3,046.16	\$3,046.16	\$3,046.16
Other Chapter 7 Administrative Expenses - David Steiner & Associates	2990-000	NA	\$1,899.25	\$1,899.25	\$1,899.25
Other Chapter 7 Administrative Expenses - Michael Godard Fine Art Associates	2990-000	NA	\$311.20	\$311.20	\$311.20
Other Chapter 7 Administrative Expenses - Richard Taylor	2990-000	NA	\$5,444.00	\$5,444.00	\$5,444.00
Other Chapter 7 Administrative Expenses - V/V / JCR Supply	2990-000	NA	\$622.60	\$622.60	\$622.60
Attorney for Trustee Fees (Other Firm) - Erman Teicher Zucker & Freedman, P.C.	3210-000	NA	\$101,972.55	\$101,972.55	\$101,972.55
Attorney for Trustee Expenses (Other Firm) - Erman Teicher Zucker & Freedman, P.C.	3220-000	NA	\$10,500.00	\$10,500.00	\$10,500.00
Other Professional Fees - Franklin Advisors LLC	3991-000	NA	\$109,355.25	\$109,355.25	\$109,355.25
Other Professional Expenses - Franklin Advisors LLC	3992-000	NA	\$385,954.33	\$385,954.33	\$385,954.33

TOTAL CHAPTER 7 ADMIN. FEES AND CHARGES	NA	\$675,000.02	\$675,000.02	\$675,000.02
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**EXHIBIT 5 – PRIOR CHAPTER ADMINISTRATIVE FEES and CHARGES**

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
None					

**EXHIBIT 6 – PRIORITY UNSECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6E)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
None						

**EXHIBIT 7 – GENERAL UNSECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
1	Istuan and Katalin Bernath	7100-000	NA	\$145,000.00	\$145,000.00	\$0.00
2	McIntoshschwartz P.L. Robert D. McIntosh, Esq.	7100-000	NA	\$250.00	\$250.00	\$0.00
3	Mr. Christopher Drugan	7100-000	\$100,000.00	\$130,900.00	\$130,900.00	\$0.00
4	Philip Fred and Karen Sue Ozan	7100-000	NA	\$63,500.00	\$63,500.00	\$0.00
8U	Wyland Worldwide, LLC	7100-000	NA	\$22,807.50	\$22,807.50	\$0.00
9	Grimm Collections	7100-000	NA	\$17,048.87	\$17,048.87	\$0.00
12	Peeke Industries, Inc.	7100-000	NA	\$184,603.58	\$184,603.58	\$0.00
13U	Classic Publications Inc.	7100-000	\$151,425.50	\$250,000.00	\$250,000.00	\$0.00
14	DandD Investing, Inc.	7100-000	\$500,000.00	\$1,195,068.49	\$1,195,068.49	\$0.00
15	DandD Investing, Inc.	7100-000	\$500,000.00	\$859,547.92	\$859,547.92	\$0.00
16	A.R. Manufacturing and Trading, L.L.C.	7100-000	\$114,180.79	\$114,180.79	\$114,180.79	\$0.00
17	English Auctioneers Group, Inc.	7100-000	\$70,217.00	\$70,127.00	\$70,127.00	\$0.00
18	The Salvador Dali Society	7100-000	\$18,000.00	\$165,000.00	\$165,000.00	\$0.00
19	Mitchell C. Shaheen	7200-000	\$311,000.00	\$0.00	\$0.00	\$0.00
20	Shapiro, Blasi, Wasserman & Hermann, P.A.	7200-000	NA	\$4,620.00	\$4,620.00	\$0.00

21	TCA Global Credit Master Fund, LP, c/o Michael Goldberg Esq.	7200-000	NA	\$995,110.26	\$995,110.26	\$0.00
22	Chubb & Son, a division of Federal Insurance Co Great Northern Insurance Company c/o Chu	7200-000	NA	\$100,000.00	\$100,000.00	\$0.00
N/F	3-Diminsion Graphics	7100-000	\$3,598.00	NA	NA	NA
N/F	Adam Schuster	7100-000	\$142,179.70	NA	NA	NA
N/F	Adam Schuster	7100-000	\$3,343.32	NA	NA	NA
N/F	Adam Scott Rote Fine Art	7100-000	\$3,230.00	NA	NA	NA
N/F	Argos Publishing	7100-000	\$2,450.00	NA	NA	NA
N/F	Art Connection	7100-000	\$29,681.61	NA	NA	NA
N/F	Art Rev.com Inc.	7100-000	\$3,300.00	NA	NA	NA
N/F	Artist Group Int'l	7100-000	\$125,384.91	NA	NA	NA
N/F	Artist Group Int'l	7100-000	\$125,384.91	NA	NA	NA
N/F	Autographi of the World	7100-000	\$42,728.30	NA	NA	NA
N/F	BC Photography	7100-000	\$487.50	NA	NA	NA
N/F	Barbara Stein	7100-000	\$2,500.00	NA	NA	NA
N/F	Baterby's Art Auction	7100-000	\$129,198.87	NA	NA	NA
N/F	Bob Feldman	7100-000	\$30,000.00	NA	NA	NA
N/F	Boom Shell Inc.	7100-000	\$385.00	NA	NA	NA
N/F	Britto-Magical Thinking	7100-000	\$4,600.00	NA	NA	NA
N/F	Bronze Masters Intl	7100-000	\$15,640.00	NA	NA	NA
N/F	Bulldog Marine	7100-000	\$2,360.09	NA	NA	NA



N/F	CJR Fine Arts	7100-000	\$3,987.50	NA	NA	NA
N/F	Canford Fine Art	7100-000	\$35,600.94	NA	NA	NA
N/F	Capital Air Conditioning	7100-000	\$125.00	NA	NA	NA
N/F	Cheval Fine Arts	7100-000	\$3,295.00	NA	NA	NA
N/F	Christa Parravani	7100-000	\$263.00	NA	NA	NA
N/F	Classic Moments	7100-000	\$3,575.00	NA	NA	NA
N/F	Collectors Edition	7100-000	\$4,625.00	NA	NA	NA
N/F	Comet Courier Corp.	7100-000	\$381.35	NA	NA	NA
N/F	DM Art LLC	7100-000	\$6,140.00	NA	NA	NA
N/F	Dale Terbush	7100-000	\$2,900.00	NA	NA	NA
N/F	David Steiner & Associates	7100-000	\$23,000.00	NA	NA	NA
N/F	Disconting Printing	7100-000	\$1,850.76	NA	NA	NA
N/F	Dixey Nunes	7100-000	\$900.00	NA	NA	NA
N/F	Dorit Levi	7100-000	\$75,157.00	NA	NA	NA
N/F	Dragosh Vujic	7100-000	\$9,274.50	NA	NA	NA
N/F	Duiav Designs LLC	7100-000	\$1,160.00	NA	NA	NA
N/F	Eileen Seitz F.A.	7100-000	\$1,835.28	NA	NA	NA
N/F	Empacol Inc.	7100-000	\$500.00	NA	NA	NA
N/F	Eric Spoutz	7100-000	\$4,970.00	NA	NA	NA
N/F	Erin Taylor Editions	7100-000	\$32,017.55	NA	NA	NA
N/F	Eydel Fine Arts	7100-000	\$1,365.00	NA	NA	NA
N/F	FedEx	7100-000	\$70,310.57	NA	NA	NA

N/F	FedEx	7100-000	\$7,350.58	NA	NA	NA
N/F	First Data Merchant	7100-000	NA	NA	NA	NA
N/F	Foundation Art Svcs.	7100-000	\$515.80	NA	NA	NA
N/F	Gill Fine Art	7100-000	NA	NA	NA	NA
N/F	Green Apple Group	7100-000	\$2,470.00	NA	NA	NA
N/F	Howard Behrens Studio	7100-000	\$98,347.57	NA	NA	NA
N/F	ITC Intl Training Center	7100-000	\$300.00	NA	NA	NA
N/F	Infinite Vision Art	7100-000	\$12,875.00	NA	NA	NA
N/F	Inxpress	7100-000	\$75,405.98	NA	NA	NA
N/F	Ivonne Ferrer	7100-000	\$620.00	NA	NA	NA
N/F	James Coleman Studios	7100-000	\$60,294.90	NA	NA	NA
N/F	Kevin Boag	7100-000	NA	NA	NA	NA
N/F	Klari Reis	7100-000	\$6,105.00	NA	NA	NA
N/F	Lan Antt Art	7100-000	\$4,272.00	NA	NA	NA
N/F	Mac Papers	7100-000	\$1,520.67	NA	NA	NA
N/F	Mainfreight Int'l	7100-000	\$1,795.68	NA	NA	NA
N/F	Marco Fine Arts	7100-000	\$13,726.80	NA	NA	NA
N/F	Mark Bronson	7100-000	\$15,532.51	NA	NA	NA
N/F	Mary Schuster	7100-000	\$63,176.04	NA	NA	NA
N/F	Michael Goddard Fine Arts	7100-000	\$81,738.08	NA	NA	NA
N/F	New Castle Fine Art	7100-000	\$1,360.00	NA	NA	NA
N/F	Noel Studio	7100-000	\$3,188.00	NA	NA	NA

N/F	Oceania Cruises	7100-000	NA	NA	NA	NA
N/F	Origin Publishing	7100-000	\$139,035.16	NA	NA	NA
N/F	Pamela Dale	7100-000	\$465.00	NA	NA	NA
N/F	Park Colony Apts.	7100-000	\$1,744.34	NA	NA	NA
N/F	Pembroke Commerce Ctr.	7100-000	\$164,132.92	NA	NA	NA
N/F	RoGallery	7100-000	NA	NA	NA	NA
N/F	Robert Bane Fine Art	7100-000	\$34,218.75	NA	NA	NA
N/F	Russel Day	7100-000	\$15,500.34	NA	NA	NA
N/F	Russel Day	7100-000	\$20,162.00	NA	NA	NA
N/F	Service by Air Pty Ltd.	7100-000	\$19,327.73	NA	NA	NA
N/F	Shardan Int'l Fine Art	7100-000	\$9,053.24	NA	NA	NA
N/F	Sid Hoeltzell Photography	7100-000	\$712.50	NA	NA	NA
N/F	Smart Publishing	7100-000	\$24,167.76	NA	NA	NA
N/F	Soho Editions, Inc.	7100-000	\$57,172.50	NA	NA	NA
N/F	Studio 19 Fine Art	7100-000	\$1,500.00	NA	NA	NA
N/F	Tech Group	7100-000	\$4,564.00	NA	NA	NA
N/F	The Icons Group	7100-000	\$29,650.58	NA	NA	NA
N/F	The Melba Studios	7100-000	\$50,895.70	NA	NA	NA
N/F	Thomas Kinkade	7100-000	\$67,152.46	NA	NA	NA
N/F	Thyon Design	7100-000	\$1,371.00	NA	NA	NA
N/F	Titus Fine Art/Hanover	7100-000	\$36,510.00	NA	NA	NA
N/F	Total Travel	7100-000	\$2,113.40	NA	NA	NA

N/F	Travellers Indemnity	7100-000	\$6,530.00	NA	NA	NA
N/F	United Healthcare	7100-000	\$9,320.11	NA	NA	NA
N/F	Universal Logistics	7100-000	\$136,639.59	NA	NA	NA
N/F	Visage Fine Art	7100-000	\$11,500.00	NA	NA	NA
<b>TOTAL GENERAL UNSECURED CLAIMS</b>			<b>\$4,008,542.64</b>	<b>\$4,317,764.41</b>	<b>\$4,317,764.41</b>	<b>\$0.00</b>

# Form 1

## Individual Estate Property Record and Report

### Asset Cases

Exhibit 8

Page: 1

**Case No.:** 15-48911 MAR

**Case Name:** WORLD ART AUCTIONS, LLC

**Trustee Name:** (420030) Kenneth A. Nathan

**Date Filed (f) or Converted (c):** 06/09/2015 (f)

**§ 341(a) Meeting Date:** 08/12/2015

**For Period Ending:** 11/10/2020

**Claims Bar Date:** 01/13/2016

1 Asset Description (Scheduled And Unscheduled (u) Property)  Ref. #	2 Petition/ Unscheduled Values	3 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	4 Property Formally Abandoned OA=\$554(a) abandon.	5 Sale/Funds Received by the Estate	6 Asset Fully Administered (FA)/ Gross Value of Remaining Assets
1 CASH Eric Bukema Trust Account 901 Wilshire Dr. Ste 550 Troy, MI 48084	22,000.00	22,000.00		20,200.00	FA
2 Art Inventory in various locations (see att. list) -Art Inventory World Art Auctions, LLC 4581 Lapeer Rd., Suite B, Lake Orion, MI 48359 \$3,000,000.00 at retail -Art Inventory Royal Caribbean Cruise Lines 1050 Caribbean Way, Miami, FL 33132 Unknown approx. \$400,000.00 -Art Inventory Oceania Cruises - Regent Seven Seas 8300 NW 33rd Street, Ste 101 Miami, FL 33122 Unknown approximately \$150,000.00 -Art Inventory Origin Publishing Int'l Pty Ltd Post Box 16 Bellbourie, Queensland, Australia Unknown -Art Inventory Icons Publishing Int'l Pty Ltd Australia Unknown -Art Inventory Todd Smyth c/o Gregory Starr 101 NE Third Avenue Fort Lauderdale, FL 33301 Approximately \$100,000.00 -Art Inventory Artist Group Int'l 9958 Mystic Moss St.. Las Vegas, NV 89163 Unknown -Art Inventory Kevin Bogg c/o Origin Publishing Int'l Pty Post Box 16 Bellbowrie, Queensland, Australia \$60,000.00 reported as stolen art -Art inventory Tom Armstrong addres unknown Unknown approximately \$35,000.00 -Art Inventory Abraham Baum 25208 Pierce Southfield, MI 48075 Unknown  Insurance claim for art held in warehouse filed Claim paid \$100,000.00	3,745,000.00	600,000.00		785,701.07	FA
3 [Interests in partnerships or joint ventures] Fine Art Auctions, LLC 4581 S. Lapeer Rd., Suite B Lake Orion, MI 48359	Unknown	5,000.00		0.00	FA
4 Inventory #5 Schedule B and attachments	Unknown	0.00		0.00	FA
5 Misc. Refunds (u)	0.00	473.19		533.19	FA
6 Advance (u) Advance pursuant to Order 12/8/20 See Docket 52, Page 5, Paragraph (e)  Originally applied to Asset No. 2, in error	0.00	25,000.00		25,000.00	FA
<b>6</b> <b>Assets Totals (Excluding unknown values)</b>	<b>\$3,767,000.00</b>	<b>\$652,473.19</b>		<b>\$831,434.26</b>	<b>\$0.00</b>

**Form 1**  
**Individual Estate Property Record and Report**  
**Asset Cases**

Exhibit 8

Page: 2

**Case No.:** 15-48911 MAR

**Case Name:** WORLD ART AUCTIONS, LLC

**For Period Ending:** 11/10/2020

**Trustee Name:** (420030) Kenneth A. Nathan

**Date Filed (f) or Converted (c):** 06/09/2015 (f)

**§ 341(a) Meeting Date:** 08/12/2015

**Claims Bar Date:** 01/13/2016

**Major Activities Affecting Case Closing:**

11/10/20 Submit TDR to UST  
9/8/20 Filed COD and issued dividends  
7/28/20 Submit TFR to UST  
3/31/20 Closing notices filed; all assets administered; in the process of preparing TFR  
12/31/20 Insurance claim re: damaged art resolved  
3/31/19 Continued liquidation of art inventory in accordance with agreement with TCA  
12/31/18 Continued liquidation of art  
6/30/18 Ongoing liquidation of art  
3/31/18 Ongoing liquidation of inventory per agreement with secured creditor TCA; resolution of litigation against Schuster, et al.  
12/31/17 Continued sale of art for secured creditor  
9/30/17 Ongoing liquidation of inventory per agreement with secured creditor TCA  
6/30/17 Continued liquidation of inventory  
3/31/17 Liquidating inventory per agreement with secured creditor TCA  
12/31/16 Monitoring sale of assets  
9/30/16 Continued monitoring of sale of art  
6/30/16 Monitoring sale of art  
3/31/16 Continued collection of art and sale of same per court order  
12/31/15 Selling art per court order  
12/8/15 Order for Joint Administration entered with case No. 15-47983 (Acquisition Strategies, Inc.)  
12/8/15 Order for Sale of Assets entered  
11/23/15 Motion for Joint Administration and Motion for Sale of Assets filed  
9/30/15 Collecting inventory and working out agreement with secured lender to liquidate  
7/21/15 Hired counsel for the Trustee

**Initial Projected Date Of Final Report (TFR):** 12/31/2017

**Current Projected Date Of Final Report (TFR):** 08/04/2020 (Actual)

11/10/2020

Date

/s/Kenneth A. Nathan

Kenneth A. Nathan

# Form 2

## Cash Receipts And Disbursements Record

Exhibit 9

Page: 1

Case No.: 15-48911 MAR

Case Name: WORLD ART AUCTIONS, LLC

Taxpayer ID #: \*\*-\*\*\*6415

For Period Ending: 11/10/2020

Trustee Name: Kenneth A. Nathan (420030)

Bank Name: Mechanics Bank

Account #: \*\*\*\*\*5066 Checking Account

Blanket Bond (per case limit): \$2,000,000.00

Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
08/03/15	{1}	Buikema, PLLC Trust Account	Turnover of proceeds held in trust re: sale of WAA Lichtenstein lithograph by Phillips Auctions	1129-000	20,200.00		20,200.00
08/10/15	101	Interstate Lock & Safe L.L.C.	Locksmith services to secure potential assets	2420-000		191.64	20,008.36
08/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		24.07	19,984.29
09/03/15	102	JST Enterprise, L.L.C.	June, July and August rent and trash removal	2410-000		3,852.00	16,132.29
09/21/15	103	JST Enterprise, L.L.C.	September rent and trash removal	2410-000		1,284.00	14,848.29
09/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		27.15	14,821.14
10/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		21.31	14,799.83
11/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		20.57	14,779.26
12/01/15	{5}	MLR Operations	2014 Medical Loss Ratio Premium Rebate Check	1290-000	473.19		15,252.45
12/14/15	104	Erman, Teicher, Zucker & Freedman, P.C.	Reimbursement for copy and service charges p/o 12/8/15	3220-000		7,500.00	7,752.45
12/14/15	105	Shaya Baum	Expenses p/o 12/8/15	3992-000		6,755.22	997.23
12/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		18.82	978.41
01/05/16	{2}	David's Art LLC	P/o 12/8/15	1129-000	50,000.00		50,978.41
01/11/16	106	Shaya Baum	Reimbursement for expenses p/o 12/8/15	3992-000		8,547.39	42,431.02
01/11/16	107	Nouvea Fine Arts, LLC	December and January Rent p/o 12/8/15	2410-000		5,300.00	37,131.02
01/21/16	{6}	Erman Teicher Zucker & Freedman, P.C.	Paid by TCA pursuant to 12/8/15 Order	1229-000	25,000.00		62,131.02
01/21/16	108	Erman Teicher Zucker & Freedman Client Trust Account	P/O 12/8/15 [See Motion Approving Procedures for Orderly Liquidation Paragraph 16e, Page 5]	3210-000		12,500.00	49,631.02
01/21/16	109	Erman Teicher Zucker & Freedman Client Trust Account	P/O 12/8/15	3210-000		7,082.50	42,548.52
01/22/16	110	Franklin Advisors	P/o 12/8/15	3991-000		7,082.50	35,466.02
01/25/16	{2}	Roy Revivo	Purchase of art p/o 12/8/15	1129-000	8,000.00		43,466.02
01/25/16	111	Franklin Advisors	Shipment of Art 50K sale to David's Art p/o 12/8/15	3992-000		2,804.66	40,661.36
01/25/16	112	Franklin Advisors	Expenses p/o 12/8/15	3992-000		1,594.39	39,066.97
01/25/16	113	Franklin Advisors	Inventory p/o 12/8/15	3992-000		1,897.50	37,169.47
01/25/16	114	V/V / JCR Supply	Warehouse supplies p/o 12/8/15	2990-000		622.60	36,546.87
01/25/16	115	Richard Taylor	Warehouse work expenses p/o 12/8/15	2990-000		3,000.00	33,546.87
01/29/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		46.01	33,500.86
02/09/16	116	TCA Global Credit Master Fund, LP	P/o 12/8/15 Stopped on 03/20/2016	4210-005		22,732.83	10,768.03
02/11/16	117	Richard Taylor	Warehouse work expenses p/o 12/8/15	2990-000		2,000.00	8,768.03
02/11/16	118	Franklin Advisors	Inventory p/o 12/8/15	3992-000		622.50	8,145.53

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# Form 2

## Cash Receipts And Disbursements Record

Exhibit 9

Page: 2

**Case No.:** 15-48911 MAR  
**Case Name:** WORLD ART AUCTIONS, LLC  
**Taxpayer ID #:** \*\*-\*\*\*6415  
**For Period Ending:** 11/10/2020

**Trustee Name:** Kenneth A. Nathan (420030)  
**Bank Name:** Mechanics Bank  
**Account #:** \*\*\*\*\*5066 Checking Account  
**Blanket Bond (per case limit):** \$2,000,000.00  
**Separate Bond (if applicable):** N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
03/01/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		45.16	8,100.37
03/20/16	116	TCA Global Credit Master Fund, LP	P/o 12/8/15 Stopped: check issued on 02/09/2016	4210-005		-22,732.83	30,833.20
03/21/16	119	Akerman LLP	P/o 12/8/15 (Replaces Check No. 116)	4210-000		22,732.83	8,100.37
03/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		48.65	8,051.72
04/29/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		17.68	8,034.04
05/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		11.13	8,022.91
06/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		12.66	8,010.25
07/25/16	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	35,000.00		43,010.25
07/29/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		16.12	42,994.13
08/02/16	{2}	Eran Notes	Sale of art p/o 12/8/15	1129-000	1,725.00		44,719.13
08/02/16	{2}	Richard Taylor	Sale of art p/o 12/8/15	1129-000	2,000.00		46,719.13
08/02/16	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	15,000.00		61,719.13
08/09/16	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	10,000.00		71,719.13
08/11/16	120	Franklin Advisors	Expenses p/o 12/8/15	3992-000		35,000.00	36,719.13
08/15/16	{2}	Quality Art Auctions, Inc.	Sale of art p/o 12/8/15	1129-000	30,000.00		66,719.13
08/16/16	{2}	Richard Joseph Taylor	Sale of art p/o 12/8/15	1129-000	1,500.00		68,219.13
08/22/16	{2}	Erman, Teicher, Zucker & Freedman, P.C.	Sale of art p/o 12/8/15	1129-000	101,250.00		169,469.13
08/24/16	121	ARC Supply Chain Solutions	Hauling charges p/o 12/8/15 [Invoice Nos. 407129; 415313; 415334; 418136; 418137; 418138; 419411; 419597; 420022; 420026; 434154] Voided on 08/30/2016	2990-004		16,541.80	152,927.33
08/24/16	122	Akerman LLP	P/o 12/8/15 Voided on 08/30/2016	4210-004		7,500.00	145,427.33
08/30/16	121	ARC Supply Chain Solutions	Hauling charges p/o 12/8/15 [Invoice Nos. 407129; 415313; 415334; 418136; 418137; 418138; 419411; 419597; 420022; 420026; 434154] Voided: check issued on 08/24/2016	2990-004		-16,541.80	161,969.13
08/30/16	122	Akerman LLP	P/o 12/8/15 Voided: check issued on 08/24/2016	4210-004		-7,500.00	169,469.13
08/30/16	123	Franklin Advisors, LLC	Shipping charges/expenses p/o 12/8/15	3992-000		16,541.80	152,927.33
08/30/16	124	Franklin Advisors, LLC	Expenses p/o 12/8/15	3992-000		34,745.86	118,181.47
08/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		140.76	118,040.71
09/06/16	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	6,000.00		124,040.71
09/06/16	{2}	Quality Art Auctions, Inc.	Sale of art p/o 12/8/15	1129-000	18,000.00		142,040.71
09/12/16	{2}	Quality Art Auctions, Inc.	Sale of art p/o 12/8/15	1129-000	45,750.00		187,790.71

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# Form 2

## Cash Receipts And Disbursements Record

Exhibit 9

Page: 3

Case No.: 15-48911 MAR

Case Name: WORLD ART AUCTIONS, LLC

Taxpayer ID #: \*\*-\*\*\*6415

For Period Ending: 11/10/2020

Trustee Name: Kenneth A. Nathan (420030)

Bank Name: Mechanics Bank

Account #: \*\*\*\*\*5066 Checking Account

Blanket Bond (per case limit): \$2,000,000.00

Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
09/12/16	125	Erman Teicher Zucker & Freedman, PC	Fees p/o 12/8/15	3210-000		38,911.00	148,879.71
09/12/16	126	Erman Teicher Zucker & Freedman, PC	Expenses p/o 12/8/15	3220-000		3,000.00	145,879.71
09/19/16	127	Franklin Advisors, LLC	P/o 12/8/15	3991-000		38,911.00	106,968.71
09/20/16	{2}	Richard Taylor	Sale of art p/o 12/8/15	1129-000	1,500.00		108,468.71
09/20/16	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	6,000.00		114,468.71
09/26/16	128	Akerman LLP	P/o 12/8/15	4210-000		33,473.81	80,994.90
09/29/16	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	5,000.00		85,994.90
09/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		203.89	85,791.01
10/04/16	129	Franklin Advisors	Expenses p/o 12/8/15	3992-000		26,314.60	59,476.41
10/06/16	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	5,000.00		64,476.41
10/11/16	130	Kenneth A. Nathan, Trustee	Interim Trustee fees p/o 10/11/16	2100-000		18,494.90	45,981.51
10/20/16	{2}	Richard Taylor	Sale of art p/o 12/8/15	1129-000	1,000.00		46,981.51
10/20/16	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	10,000.00		56,981.51
10/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		92.45	56,889.06
11/02/16	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	25,000.00		81,889.06
11/02/16	131	Franklin Advisors	Expenses p/o 12/8/15	3992-000		10,435.09	71,453.97
11/14/16	{2}	Richard Taylor	Sale of art p/o 12/8/15	1129-000	30,000.00		101,453.97
11/28/16	{2}	Richard Taylor	Sale of art p/o 12/8/15	1129-000	1,050.00		102,503.97
11/28/16	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	5,000.00		107,503.97
11/28/16	132	Richard Taylor	P/o 12/8/15	2990-000		444.00	107,059.97
11/28/16	133	Franklin Advisors, LLC	Expenses p/o 12/8/15	3992-000		8,458.91	98,601.06
11/30/16	134	INSURANCE PARTNERS AGENCY, INC.	Bond 11/01/16 - 10/31/17	2300-000		31.91	98,569.15
11/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		128.15	98,441.00
12/08/16	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	5,000.00		103,441.00
12/27/16	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	10,000.00		113,441.00
12/27/16	135	Franklin Advisors, LLC	Expenses p/o 12/8/15	3992-000		13,332.84	100,108.16
12/27/16	136	David Steiner & Associates	Sale of art commission p/o 12/8/15	2990-000		1,899.25	98,208.91
12/27/16	137	Michael Godard Fine Art Associates	Sale of art commission p/o 12/8/15	2990-000		311.20	97,897.71
12/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		146.57	97,751.14
01/16/17	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	10,000.00		107,751.14
01/31/17	{2}	Richard Taylor	Sale of art p/o 12/8/15	1129-000	3,000.00		110,751.14
01/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		157.50	110,593.64
02/14/17	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	5,000.00		115,593.64
02/14/17	138	Franklin Advisors, LLC	Expenses p/o 12/8/15	3992-000		9,142.36	106,451.28
02/28/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		145.74	106,305.54
03/06/17	{5}	Canford Fine Arts	Refund of shipping and packing of returned art work	1290-000	60.00		106,365.54

Page Subtotals: \$122,610.00 \$204,035.17

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# Form 2

## Cash Receipts And Disbursements Record

Exhibit 9

Page: 4

Case No.: 15-48911 MAR

Case Name: WORLD ART AUCTIONS, LLC

Taxpayer ID #: \*\*-\*\*\*6415

For Period Ending: 11/10/2020

Trustee Name: Kenneth A. Nathan (420030)

Bank Name: Mechanics Bank

Account #: \*\*\*\*\*5066 Checking Account

Blanket Bond (per case limit): \$2,000,000.00

Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
03/07/17	{2}	Franklin Advisors	Sale of art p/o 12/8/15	1129-000	10,000.00		116,365.54
03/10/17	{2}	Erman, Teicher, Zucker & Freedman, P.C.	Sale of art p/o 12/8/15	1129-000	2,000.00		118,365.54
03/13/17	139	Franklin Advisors, LLC	Expenses p/o 12/8/15	3992-000		8,852.74	109,512.80
03/14/17	{2}	Franklin Advisors	Sale of art p/o 12/8/15	1129-000	5,000.00		114,512.80
03/28/17	140	Erman Teicher Zucker & Freedman, PC	Fees p/o 12/8/15	3210-000		19,775.76	94,737.04
03/28/17	141	Franklin Advisors, LLC	P/o 12/8/15	3991-000		19,775.76	74,961.28
03/30/17	{2}	Franklin Advisors	Sale of art p/o 12/8/15	1129-000	6,000.00		80,961.28
03/31/17	142	Lucosky Brookman LLP Attorney Trust Account	P/o 12/8/15	4210-000		32,000.00	48,961.28
03/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		166.82	48,794.46
04/11/17	143	Franklin Advisors, LLC	Expenses p/o 12/8/15	3992-000		9,448.28	39,346.18
04/19/17	{2}	Franklin Advisors	Sale of art p/o 12/8/15	1129-000	5,000.00		44,346.18
04/25/17	144	Kenneth A. Nathan, Trustee	Interim Trustee Fees p/o 4/24/17	2100-000		6,980.50	37,365.68
04/28/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		83.52	37,282.16
05/03/17	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	5,000.00		42,282.16
05/15/17	145	Franklin Advisors, LLC	Expenses p/o 12/8/15	3992-000		7,482.16	34,800.00
05/18/17	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	5,000.00		39,800.00
05/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		64.03	39,735.97
06/08/17	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	10,000.00		49,735.97
06/08/17	146	Franklin Advisors, LLC	Expenses p/o 12/8/15	3992-000		8,137.92	41,598.05
06/30/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		60.97	41,537.08
07/10/17	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	10,000.00		51,537.08
07/17/17	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	5,000.00		56,537.08
07/25/17	147	Franklin Advisors, LLC	Expenses p/o 12/8/15	3992-000		9,341.84	47,195.24
07/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		69.01	47,126.23
08/03/17	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	5,000.00		52,126.23
08/23/17	148	Franklin Advisors, LLC	Expenses p/o 12/8/15	3992-000		8,305.27	43,820.96
08/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		82.83	43,738.13
09/05/17	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	10,000.00		53,738.13
09/20/17	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	10,000.00		63,738.13
09/20/17	{2}	Richard Taylor	Sale of art p/o 12/8/15	1129-000	1,888.00		65,626.13
09/29/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		78.39	65,547.74
10/09/17	{2}	Wyland Worldwide, LLC	Sale of art p/o 12/8/15	1129-000	4,449.07		69,996.81
10/16/17	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	10,000.00		79,996.81
10/16/17	149	Franklin Advisors, LLC	Expenses p/o 12/8/15	3992-000		8,124.49	71,872.32
10/27/17	150	Franklin Advisors, LLC	Expenses p/o 12/8/15	3992-000		9,949.70	61,922.62
10/31/17	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	5,000.00		66,922.62

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# Form 2

## Cash Receipts And Disbursements Record

Exhibit 9

Page: 5

Case No.: 15-48911 MAR

Case Name: WORLD ART AUCTIONS, LLC

Taxpayer ID #: \*\*-\*\*\*6415

For Period Ending: 11/10/2020

Trustee Name:

Kenneth A. Nathan (420030)

Bank Name:

Mechanics Bank

Account #:

\*\*\*\*\*5066 Checking Account

Blanket Bond (per case limit):

\$2,000,000.00

Separate Bond (if applicable):

N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
10/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		106.30	66,816.32
11/30/17		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		95.86	66,720.46
12/07/17	151	Insurance Partners Agency, Inc.	Bond 11/1/17 thru 10/31/18	2300-000		25.84	66,694.62
12/13/17	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15 Sale of art p/o 12/8/15	1129-000	15,000.00		81,694.62
12/13/17	152	Franklin Advisors, LLC	Expenses p/o 12/8/15	3992-000		8,177.98	73,516.64
12/15/17	153	Franklin Advisors, LLC	Expenses p/o 12/8/15	3992-000		10,504.93	63,011.71
12/29/17		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		95.43	62,916.28
01/02/18	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	10,000.00		72,916.28
01/16/18	154	Franklin Advisors, LLC	Expenses p/o 12/8/15	3992-000		10,692.26	62,224.02
01/29/18	{2}	Franklin Advisors	Sale of art p/o 12/8/15	1129-000	15,000.00		77,224.02
01/31/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		113.68	77,110.34
02/28/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		109.66	77,000.68
03/22/18	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	25,000.00		102,000.68
03/22/18	155	Franklin Advisors, LLC	Expenses p/o 12/8/15	3992-000		6,284.81	95,715.87
03/30/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		115.24	95,600.63
04/30/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		132.92	95,467.71
05/07/18	156	Franklin Advirors, LLC	Expenses p/o 12/8/15	3992-000		9,648.44	85,819.27
05/08/18	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	10,000.00		95,819.27
05/14/18	157	Erman Teicher Zucker & Freedman, PC	Fees p/o 12/8/15	3210-000		23,703.29	72,115.98
05/14/18	158	Franklin Advisors, LLC	P/o 12/8/15	3991-000		23,703.29	48,412.69
05/14/18	159	Kenneth A. Nathan, Trustee	Interim Trustee Fees p/o 4/24/17	2100-000		8,366.85	40,045.84
05/31/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		111.99	39,933.85
06/08/18	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	10,000.00		49,933.85
06/29/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		64.15	49,869.70
07/02/18	{2}	Richard Taylor	Sale of art p/o 12/8/15	1129-000	5,000.00		54,869.70
07/09/18	160	Franklin Advisors, LLC	Expenses p/o 12/8/15	3992-000		7,121.96	47,747.74
07/09/18	161	Franklin Advisors, LLC	Expenses p/o 12/8/15	3992-000		10,383.76	37,363.98
07/31/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		65.11	37,298.87
08/31/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		55.43	37,243.44
09/28/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		28.56	37,214.88
10/03/18	162	Franklin Advisors	June expenses p/o 12/8/15	3992-000		9,866.51	27,348.37
10/03/18	163	Franklin Advisors	July expenses p/o 12/8/15	3992-000		3,324.63	24,023.74
10/03/18	164	Franklin Advisors	August expenses p/o 12/8/15	3992-000		2,720.34	21,303.40

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# Form 2

## Cash Receipts And Disbursements Record

Exhibit 9

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Case No.: 15-48911 MAR

Case Name: WORLD ART AUCTIONS, LLC

Taxpayer ID #: \*\*-\*\*\*6415

For Period Ending: 11/10/2020

Trustee Name: Kenneth A. Nathan (420030)

Bank Name: Mechanics Bank

Account #: \*\*\*\*\*5066 Checking Account

Blanket Bond (per case limit): \$2,000,000.00

Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
10/31/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		21.87	21,281.53
11/05/18	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	10,000.00		31,281.53
11/26/18	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	8,000.00		39,281.53
11/26/18	165	Franklin Advisos	September expenses p/o 12/8/15	3992-000		6,150.00	33,131.53
11/26/18	166	Franklin Advisors	October expenses p/o 12/8/15	3992-000		4,589.80	28,541.73
12/07/18	167	Insurance Partners Agency, Inc.	Blanket Bond Renewal 11.1.18 - 10.31.19	2300-000		26.29	28,515.44
12/20/18	168	Cantor Insurance Group	Insurance 12/18/18 - 12/18/19 Policy *****3333 Invoice 035664	2420-000		2,390.00	26,125.44
01/15/19	{2}	Franklin Advisors, LLC	Sale of art p/o 12/8/15	1129-000	5,000.00		31,125.44
02/12/19	169	KENNETH A. NATHAN, TRUSTEE	Interim Trustee fees p/o 4/24/17	2100-000		1,900.00	29,225.44
03/18/19	{2}	Franklin Advisors LLC	Sale of art p/o 12/8/15	1129-000	12,000.00		41,225.44
03/27/19	170	Franklin Advisors	November (\$7,125.06) and December (\$7,613.71) expenses p/o 12/8/15	3992-000		14,738.77	26,486.67
03/27/19	171	Franklin Advisors	January (\$4,333.38) and February (\$4,538.06) expenses p/o 12/8/15	3992-000		8,871.44	17,615.23
04/29/19		Transfer Debit to Metropolitan Commercial Bank acct *****1204	Transition Debit to Metropolitan Commercial Bank acct 3910021204	9999-000		17,615.23	0.00

<b>COLUMN TOTALS</b>	<b>726,845.26</b>	<b>726,845.26</b>	<b>\$0.00</b>
Less: Bank Transfers/CDs	0.00	17,615.23	
<b>Subtotal</b>	<b>726,845.26</b>	<b>709,230.03</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$726,845.26</b>	<b>\$709,230.03</b>	

# Form 2

## Cash Receipts And Disbursements Record

Exhibit 9

Page: 7

Case No.: 15-48911 MAR

Case Name: WORLD ART AUCTIONS, LLC

Taxpayer ID #: \*\*-\*\*\*6415

For Period Ending: 11/10/2020

Trustee Name: Kenneth A. Nathan (420030)

Bank Name: Metropolitan Commercial Bank

Account #: \*\*\*\*\*1204 Checking Account

Blanket Bond (per case limit): \$2,000,000.00

Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
04/29/19		Transfer Credit from Rabobank, N.A. acct *****5066	Transition Credit from Rabobank, N.A. acct 5013555066	9999-000	17,615.23		17,615.23
05/31/19	{2}	Franklin Advisors, LLC	Sale of art per order December 5, 2018 [p/o 12/8/15]	1129-000	4,589.00		22,204.23
07/01/19	1000	Franklin Advisors, LLC	March (\$5,526.74); April (\$4,779.52); May (\$5,120.11) expenses p/o 12/8/15	3992-000		15,426.37	6,777.86
10/28/19	{2}	OB Services	Payment of insurance claim on damaged property	1129-000	100,000.00		106,777.86
11/21/19	1001	Kenneth Nathan	Trustee fees p/o 4/24/17	2100-000		5,829.46	100,948.40
12/03/19	1002	Insurance Partners	Blanket Bond 11/1/19 - 10/31/20 Invoice 377121	2300-000		4.87	100,943.53
03/31/20		Metropolitan Commercial Bank	Bank and Technology Services Fees	2600-000		80.67	100,862.86
04/27/20	1003	Franklin Advisors	Expenses p/o 12/8/15	3992-000		11,616.81	89,246.05
04/27/20	1004	Franklin Advisors	p/o 12/8/15	3991-000		14,500.00	74,746.05
04/30/20		Metropolitan Commercial Bank	Bank and Technology Services Fees	2600-000		161.21	74,584.84
05/29/20		Metropolitan Commercial Bank	Bank and Technology Services Fees	2600-000		115.23	74,469.61
06/24/20	1005	Franklin Advisors	p/o 12/8/15	3991-000		5,382.70	69,086.91
06/30/20		Metropolitan Commercial Bank	Bank and Technology Services Fees	2600-000		126.67	68,960.24
09/08/20	1006	U.S. Bankruptcy Court Clerk	CK 1006 was pd thru ACH to Court 9/10/20-Distribution pmt - Dvdnd pd at 100% of \$700; Clm #BKCYCOURT; Filed \$700	2700-000		700.00	68,260.24
09/08/20	1007	Kenneth A. Nathan	Distribution payment - Dividend paid at 100.00% of \$32.64; Claim # TE; Filed: \$32.64	2200-000		32.64	68,227.60
09/08/20	1008	TCA Global Credit Master Fund, LP	Distribution payment - Dividend paid at 7.50% of \$910,000.00; Claim # 11; Filed: \$910,000.00	4210-000		68,227.60	0.00

**COLUMN TOTALS**

	<b>122,204.23</b>	<b>122,204.23</b>	<b>\$0.00</b>
Less: Bank Transfers/CDs	17,615.23	0.00	
<b>Subtotal</b>	<b>104,589.00</b>	<b>122,204.23</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$104,589.00</b>	<b>\$122,204.23</b>	

## Form 2

Exhibit 9  
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### Cash Receipts And Disbursements Record

**Case No.:** 15-48911 MAR  
**Case Name:** WORLD ART AUCTIONS, LLC  
**Taxpayer ID #:** \*\*\_\*\*\*6415  
**For Period Ending:** 11/10/2020

**Trustee Name:** Kenneth A. Nathan (420030)  
**Bank Name:** Metropolitan Commercial Bank  
**Account #:** \*\*\*\*\*1204 Checking Account  
**Blanket Bond (per case limit):** \$2,000,000.00  
**Separate Bond (if applicable):** N/A

<b>TOTAL - ALL ACCOUNTS</b>	<b>NET DEPOSITS</b>	<b>NET DISBURSEMENTS</b>	<b>ACCOUNT BALANCES</b>
*****5066 Checking Account	\$726,845.26	\$709,230.03	\$0.00
*****1204 Checking Account	\$104,589.00	\$122,204.23	\$0.00
	<b>\$831,434.26</b>	<b>\$831,434.26</b>	<b>\$0.00</b>

11/10/2020

Date

/s/Kenneth A. Nathan

Kenneth A. Nathan